Referrals to the Ombudsman

- You must co-operate with any investigations by the Ombudsman being conducted in accordance with their Terms of Reference.
- You must comply with any award and/or direction made by the Ombudsman against you and accepted by the Complainant and which is binding upon you under the Terms of Reference.
- You must pay the Complainant the amount of any such award if accepted by the Complainant within the period for payment required by the Ombudsman.
- Failure to conform to these obligations could result in referral to the TPO Disciplinary Standards Committee (DSC) in accordance with the Ombudsman’s Terms of Reference. The document containing the DSC’s terms of reference, guidance and procedures is issued when you join the scheme and is available to download from the website.

General Membership Obligations

This guidance applies to all businesses who are members of The Property Ombudsman (TPO) scheme, including those who are registered for the minimal legal redress requirement.
Best Practice Guidance

The Ombudsman will have regard to what is generally accepted as good practice in the industry as defined by the TPO Codes of Practice. Where relevant they will consider the rules of conduct of any associated trade body. The Ombudsman will also take into account appropriate laws relating to a business including current and relevant primary and secondary legislation.

General Provisions of Membership

- In accordance with the Alternative Dispute Resolution for Consumer Disputes (Competent Authorities and Information) Regulations 2015, you must include TPO’s name and website address on your website, within your terms of business and within any other sales or service contract you agree with a consumer.
- It is also a requirement under the Consumer Rights Act 2015 that letting and managing agents in England make customers aware of their membership of TPO and, together with letting and managing agents in Wales, disclose their fees, charges and client money protection cover at the earliest stage in the transaction. This information must be included on agents’ websites, within their terms of business and prominently displayed within their Offices. Regardless of the services offered and the location of agents’ offices, this information should be provided by all TPO members as early in the transaction as is practicable and, in any event, at the point of instruction.
- The relevant TPO logo, dependent on membership, registration or RLM, must be displayed on office windows, websites and letterheads as well as being included on property particulars and advertisements. You must prominently display copies of the TPO consumer guide leaflet in all your offices.
- You must ensure that all staff are fully conversant with all aspects of the relevant codes or rules of conduct in addition to their legal responsibilities. Such staff must observe these obligations in all their dealings with consumers and have a good working knowledge of all current and relevant legislation.
- You must always act within the law in the conduct of your business.
- You should provide a service consistent with fairness, integrity and best practice; and you should not seek business by methods that are oppressive or involve dishonesty, deceit or misrepresentation. You must avoid any course of action that can be construed as aggressive behaviour or harassment.
- You must treat consumers equally regardless of their race, religion or belief, sex, sexual orientation, gender reassignment status, disability or nationality. Unlawful discrimination includes giving less favourable treatment because someone is perceived to have one of these personal characteristics or because they are associated with a person with such a characteristic.
- You should take special care when dealing with consumers who might be disadvantaged because of their age, infirmity, lack of knowledge, lack of linguistic ability, economic circumstances or bereavement.
- You must not release or misuse confidential information given by your client during your relationship without your client’s permission, unless legally required to do so.
- You must keep clear and full written records for at least six years and produce them when required by the Ombudsman.
- You must complete all documentation relevant to your defence, at the time of submitting your company file.

In-house Complaints Handling

- You are obliged under your terms of membership to maintain and operate an in-house complaints procedure. Such procedures must be in writing; explain how to complain to your business and to the Ombudsman and be readily available in each office for consumers.
- You are required to ensure that all consumers are made aware of TPO’s name and their consumer protection rights.
- All verbal and written complaints must be recorded by you at the time they are made.
- You must agree to deal with any properly appointed representative of a Complainant.
- Procedures may vary, however if you have agreed to abide by a Code of Practice there are specific timescales to adhere to. The Ombudsman will use these timescales as best practice when reviewing complaints against those agents registered for redress only.
- You must deal with all complaints both on your own behalf and on behalf of your branch, company and any affiliated agents in a timely manner.
- You must ensure that all staff are fully conversant with all aspects of the relevant codes or rules of conduct in addition to their legal responsibilities. Such staff must observe these obligations in all their dealings with consumers and have a good working knowledge of all current and relevant legislation.
- You should provide a service consistent with fairness, integrity and best practice; and you should not seek business by methods that are oppressive or involve dishonesty, deceit or misrepresentation. You must avoid any course of action that can be construed as aggressive behaviour or harassment.
- You must treat consumers equally regardless of their race, religion or belief, sex, sexual orientation, gender reassignment status, disability or nationality. Unlawful discrimination includes giving less favourable treatment because someone is perceived to have one of these personal characteristics or because they are associated with a person with such a characteristic.
- You should take special care when dealing with consumers who might be disadvantaged because of their age, infirmity, lack of knowledge, lack of linguistic ability, economic circumstances or bereavement.
- You must not release or misuse confidential information given by your client during your relationship without your client’s permission, unless legally required to do so.
- You must keep clear and full written records for at least six years and produce them when required by the Ombudsman.
- You must not release or misuse confidential information given by your client during your relationship without your client’s permission, unless legally required to do so.